

# Orthogonal polynomials, zeros and electrostatics

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- 1 From classical to semiclassical orthogonal polynomials
  - Electrostatic model for semiclassical OP
- 2 Electrostatic model for zeros of POPUC
  - Orthogonal and Paraorthogonal polynomials on the unit circle
  - Differential properties for POPUC
  - Electrostatic interpretation
  - Finding the electric field generators: an algorithmic approach
  - Examples: Lebesgue and Chebyshev polynomials
- 3 Zeros of exceptional orthogonal polynomials
  - Exceptional orthogonal polynomials
  - $X_m$ -Laguerre-(I) polynomials
  - $X_m$ -Laguerre-(II) polynomials
- 4 Zeros of Freud-Sobolev type orthogonal polynomials
  - Freud-Sobolev type orthogonal polynomials
  - Electrostatic models for the “even”  $Q_{2n}$  and “odd”  $Q_{2n+1}$  subsequences

# From classical to semiclassical OP

- Let  $\{p_n(x)\}_{n \geq 0}$  be a sequence of polynomials orthonormal (OPRL) with respect to a weight function  $w(x) = \exp(-v(x))$  supported on an interval  $[c, d] \subset \mathbb{R}$ , finite or infinite:

$$\int_c^d p_m(x)p_n(x)w(x) dx = \delta_{m,n}.$$

- Then  $\{p_n\}_{n \geq 0}$  satisfies a three-term recurrence relation:

$$xp_n(x) = a_{n+1}p_{n+1}(x) + b_n p_n(x) + a_n p_{n-1}(x), \quad n \geq 0, a_n > 0, p_{-1} = 0, p_0 = 1.$$

- Under certain assumptions on  $w$ , the orthonormal polynomials  $p_n$  also satisfy a difference-differential relation

$$p'_n(x) = A(x; n)p_{n-1}(x) - B(x; n)p_n(x),$$

where  $A(x, n)$ ,  $B(x; n)$  are given in terms of  $w$ ,  $a_n$ -s, and the values  $p_n(c)$  and  $p_n(d)$ .

- A direct consequence of the above is that  $p_n$  satisfies also the second order linear differential equation

$$p''_n(x) - 2R(x; n)p'_n(x) + S(x; n)p_n(x) = 0, \quad \text{with}$$

$$R(x; n) = \frac{v'(x)}{2} + \frac{A'(x; n)}{2A(x; n)},$$

$$S(x; n) = B'(x; n) - B(x; n) \frac{A'(x; n)}{A(x; n)} - B(x; n)[v'(x) + B(x; n)] + \frac{a_n}{a_{n-1}} A(x; n)A(x; n-1).$$



M.E.H. Ismail, *An electrostatic model for zeros of general orthogonal polynomials*, Pacific J. Math. **193** (2000), 355–369.

- Ismail considers  $\varphi'(x) = R(x; n)$ , where  $\varphi(x)$  is the external field

$$\varphi(x) = \frac{v(x)}{2} + \frac{\ln(k_n A(x; n))}{2} = \varphi_{\text{long}}(x) + \varphi_{\text{short}}(x)$$

- $\varphi(x)$  has two components:  $\varphi_{\text{long}}(x)$  comes from the orthogonality weight  $w(x) = \exp(-v(x))$ , and Ismail called it the *long range potential*.
- The other  $\varphi_{\text{short}}(x)$  is called *short range potential*, allows to give a further generalization of the electrostatic interpretation.
- Ismail proves that, under certain assumptions, the total energy of the system has a unique point of global minimum, **which is located at the vector constituted by the zeros of the orthogonal polynomial  $p_n$** .
- $A(x; n)$  is responsible of the creation of “ghost” movable charges, as it was shown first in:



F.A. Grünbaum, *Variations on a theme of Heine and Stieltjes: An electrostatic interpretation of the zeros of certain polynomials*, J. Comput. Appl. Math. **99** (1998), 189–194.

- Let us consider here a little bit more general situation. A generalized weight function (or linear functional) is *semiclassical* if it satisfies the *Pearson equation*

$$D(\phi w) = \psi w,$$

where  $\phi$ ,  $\psi$  are polynomials, with degree of  $\psi \geq 1$ , and  $D$  is the “derivative” operator (in the usual, but also possibly in a distributional sense).

- It is well known that for such a weight the corresponding orthogonal polynomials (called also *semiclassical*) satisfy a differential equation of the type referred above, where the coefficients  $R(x; n)$  and  $S(x; n)$  are rational functions.
- The classical-type orthogonal polynomials considered by Grünbaum in the above work are an example of a semiclassical family, but there are many more.



F. Marcellán, A. Martínez-Finkelshtein, P. Martínez-González, *Electrostatic models for zeros of polynomials: old, new, and some open problems*, J. Comput. Appl. Math. **207** (2007), no. 2, 258–272.

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- Given an infinitely supported probability measure  $\mu$  on the unit circle, one defines the OPUC  $\{\Phi_n(z; \mu)\}_{n \geq 0}$  as the monic sequence of monic polynomials satisfying the Szegő recursion:


$$\Phi_{n+1}(z; \mu) = z\Phi_n(z; \mu) - \bar{\alpha}_n \Phi_n^*(z; \mu),$$

where  $\alpha_n \in \mathbb{D} := \{z : |z| < 1\}$  and  $\Phi_n^*(z) = z^n \overline{\Phi_n(1/\bar{z})}$ .

- To each  $\mu$  on the unit circle, we can associate a sequence  $\{\alpha_n\}_{n \geq 0}$  of corresponding *Verblunsky coefficients*.
- For the above  $\mu$ , and a complex number  $\beta$  of modulus 1, one can define **paraorthogonal polynomials** (POPUC)  $\{\Phi_n(z; \beta; \mu)\}_{n \geq 0}$  as the sequence of polynomials given by

$$\Phi_n(z; \beta; \mu) := z\Phi_{n-1}(z; \mu) - \bar{\beta} \Phi_{n-1}^*(z; \mu).$$

- All the zeros of  $\Phi_n(z; \beta; \mu)$  are simple and **lie on the unit circle**.

- If  $\tau \neq \beta$  are distinct complex numbers of modulus 1, then the zeros of  $\Phi_n(z; \beta; \mu)$  and  $\Phi_n(z; \tau; \mu)$  strictly interlace on the unit circle, i.e. if  $x$  and  $y$  are two zeros of  $\Phi_n(z; \beta; \mu)$  and  $[x, y]$  is the arc of the unit circle that runs from  $x$  to  $y$  in the counter-clockwise direction, then  $[x, y] \setminus \{x, y\}$  contains a zero of  $\Phi_n(z; \tau; \mu)$ .
- Paraorthogonal polynomials **are not** orthogonal polynomials, but they often serve as an appropriate analog of OPRL in settings where the real line is replaced by the unit circle.
- The basic reference in this section will be:
  -  B. Simanek, *An electrostatic interpretation of the zeros of paraorthogonal polynomials on the unit circle*. SIAM J. Math. Anal. **48** (3) (2016), 2250–2268.



# Theorem 1 (B. Simanek, 2016)

Suppose  $d\mu(\theta) = w(\theta) \frac{d\theta}{2\pi}$  is a probability measure on the unit circle, where  $w$  is continuous on  $[0, 2\pi]$  (mod  $2\pi$ ) and differentiable on  $(0, 2\pi)$  and let  $\{\alpha_n\}_{n=0}^{\infty}$  be the corresponding sequence of Verblunsky coefficients. If  $\beta \in \mathbb{C}$ , then the POPUC polynomial  $y(z) = \Phi_n(z; \beta)$  defined above solves the following differential equation on any domain including infinity or zero on which the coefficients are meromorphic:

$$0 = y''(z) + \left[ \frac{1-n}{z} - \frac{h'_n(z; \beta; \beta)}{h_n(z; \beta; \beta)} \right] y'(z) + \left[ \frac{W[h_n(z; \beta; \beta), h_n(z; -\beta; \beta)]}{2\bar{\beta}zh_n(z; \beta; \beta)} - \frac{1}{z} ((n+zG_n(z))G_n(z) + J_n(z)(D_n(z) - n\alpha_{n-1})) \right] y(z),$$

where

$$G_n(z) := i \int_0^{2\pi} \frac{|\varphi_{n-1}^*(e^{i\theta})|^2 w'(\theta)}{(z-e^{i\theta})} \frac{d\theta}{2\pi},$$
$$D_n(z) := -iz \int_0^{2\pi} \frac{\varphi_{n-1}^*(e^{i\theta})^2 w'(\theta)}{(z-e^{i\theta})e^{in\theta}} \frac{d\theta}{2\pi}, \quad J_n(z) := i \int_0^{2\pi} \frac{\varphi_{n-1}(e^{i\theta})^2 w'(\theta)}{(z-e^{i\theta})e^{i(n-2)\theta}} \frac{d\theta}{2\pi},$$
$$h_n(z; x; y) := \bar{x}(n(1 - \bar{y}\alpha_{n-1}) + zG_n(z) + \bar{y}D_n(z)) - z(J_n(z) - \bar{y}G_n(z)),$$

and  $W[f, g]$  denotes the Wronskian of  $f$  and  $g$ .

Suppose  $\mu$  is as in the above Theorem and  $\tau \neq \beta$  are complex numbers. The polynomials  $u(z) := \Phi_n(z; \beta, \mu)$  and  $v(z) := \Phi_n(z; \tau, \mu)$  solve the following system of differential equations on any domain containing infinity or zero where the coefficients are meromorphic:

$$\begin{aligned}u'(z) &= v(z) \left( \frac{h_n(z; \beta; \beta)}{z(\bar{\beta} - \bar{\tau})} \right) - u(z) \left( \frac{h_n(z; \tau; \beta)}{z(\bar{\beta} - \bar{\tau})} \right) \\v'(z) &= v(z) \left( \frac{h_n(z; \beta; \tau)}{z(\bar{\beta} - \bar{\tau})} \right) - u(z) \left( \frac{h_n(z; \tau; \tau)}{z(\bar{\beta} - \bar{\tau})} \right).\end{aligned}$$

More precisely, we need to know exactly the coefficient of  $n^{-d/2}$  to estimate the above expressions correctly.

- The main restriction in the applicability of the above two Theorems is the requirement that the measure is absolutely continuous and the weight is a continuous and differentiable function.

- The main application of the above results is to prove that the zeros of certain families of POPUC are points satisfying an electrostatic equilibrium.
- Simanek considers the problem of creating an electric field that will keep identical charges at fixed points on the unit circle stationary. More precisely, assume a set  $\{x_1, \dots, x_n\} \subseteq \partial\mathbb{D}$ .
- He proves a way to find a number  $m$ , a collection of points  $\{a_i\}_{i=1}^m \subseteq \mathbb{C} \setminus \{x_1, \dots, x_n\}$ , and a set of real charges  $\{q_i\}_{i=1}^m$  so that if a particle of charge  $+1$  is placed at each  $x_j$  ( $j = 1, \dots, n$ ) and a particle of charge  $q_i$  is placed at  $a_i$  ( $i = 1, \dots, m$ ), then the total force on the particle at each  $x_j$  is zero ( $j = 1, \dots, n$ ).

- In other words, if we have a collection of  $m$  identically charged particles all lying on a concentric circle, it can be showed a way to construct an electric field that will keep these particles stationary.
- The points  $\{a_i\}_{i=1}^m$  and charges  $\{q_i\}_{i=1}^m$  comprise the so called a *set of electric field generators*. The charged particles at  $\{x_j\}_{j=1}^n$  would be called *mobile charges* and the charged particles at  $\{a_i\}_{i=1}^m$  would be called *impurity charges*.
- It is important to keep in mind that the charged particles at the points  $\{x_j\}_{j=1}^n$  interact with each other as well as with the electric field generators. With our motivation now clearly stated, we provide the following definitions:

## Definition:

- (i) Given a set of electric field generators  $\{a_i\}_{i=1}^m$  and  $\{q_i\}_{i=1}^m$ , a set of points  $\{x_j\}_{j=1}^n$  located on a smooth curve  $\Gamma$  is in  $\Gamma$ -normal electrostatic equilibrium if for each  $j = 1, 2, \dots, n$ , the force at  $x_j$  is normal to  $\Gamma$  at  $x_j$ .
- (ii) Given a set of electric fields generators  $\{a_i\}_{i=1}^m$  and  $\{q_i\}_{i=1}^m$ , a set of points  $\{x_j\}_{j=1}^n \subset \partial\mathbb{D}$  is in total electrostatic equilibrium if for each  $j = 1, 2, \dots, n$

$$\sum_{k=1, k \neq j} \frac{1}{x_j - x_k} + \sum \frac{q_i}{x_j - a_i} = 0.$$

- If  $\Gamma \subset \partial\mathbb{D}$ , then the  $\Gamma$ -normal electrostatic equilibrium can be rewritten as

$$\operatorname{Im} \left[ x_j \left( \sum_{k=1, k \neq j} \frac{1}{x_j - x_k} + \sum \frac{q_i}{x_j - a_i} \right) \right] = 0, \quad j = 1, 2, \dots, n.$$

- Total electrostatic equilibrium  $\implies \partial\mathbb{D}$ -normal electrostatic equilibrium, but the converse is not true in general. Indeed,  $n$  particles of identical nonzero charge located at the  $n$ th roots of  $i$  unit and subject to no external force are in  $\partial\mathbb{D}$ -normal electrostatic equilibrium but are not in total electrostatic equilibrium.

Given any collection of  $n \geq 2$  distinct points  $\{x_1, \dots, x_n\} \subseteq \partial\mathbb{D}$ , there exists a set of electric field generators so that the collection  $\{x_1, \dots, x_n\}$  is in total electrostatic equilibrium.

In fact, given any  $n$  distinct points  $\{x_1, \dots, x_n\} \subseteq \partial\mathbb{D}$ , we can deduce an explicit algorithm for finding the electric field generators. We proceed as follows:

- Step 1: Define the measure  $\mu_n$  on  $\partial\mathbb{D}$  by

$$\mu_n = \frac{1}{n} \sum_{j=1}^n \delta_{x_j},$$

and define  $\beta := (-1)^{n+1} \prod_{j=1}^n \bar{x}_j$ .

- Step 2: Consider the Gram-Schmidt orthogonalization process for the linearly independent set  $\{1, z, \dots, z^{n-1}\}$  in  $L^2(\partial\mathbb{D}, \mu_n)$  to get the sequence of orthonormal polynomials  $\{1, \varphi_1(z; \mu_n), \dots, \varphi_{n-1}(z; \mu_n)\}$ .

- Step 3: Define the probability measure

$$d\nu_n := \frac{1}{|\varphi_{n-1}(e^{i\theta}; \mu_n)|^2} \frac{d\theta}{2\pi}.$$

- Step 4: Calculate the quantity  $h_n(z; \beta; \beta)$  for the measure  $\nu_n$  in the domain  $\{z : |z| > 1\}$ . Indeed, it will be a rational function  $S_1(z)/S_2(z)$  for some polynomials  $S_1$  and  $S_2$ .
- Step 5: Place a particle of charge  $-1/2$  at each zero of  $S_1$ , a particle of charge  $+1/2$  at each zero of  $S_2$ , and a particle of charge  $\frac{1}{2}(1-n)$  at zero.

**Basic idea:** To translate the equilibrium problem into a Lamé differential equation.

## Example: Lebesgue Polynomials

- Let  $\mu$  be Lebesgue measure on the circle. In this case  $\alpha_{n-1} = 0$  and  $w$  is constant so  $w' = 0$ .
- Let us also assume  $\beta = 1$ , so that  $\Phi_n(z; \beta) = z^n - 1$  and  $h_n(z; \beta; \beta) = n$ .
- With this choice, from Theorem 2 we see that particles of charge  $+1$  located at the  $n^{\text{th}}$  roots of unity are in total electrostatic equilibrium when the external field is generated by a charge of  $\frac{1}{2}(1 - n)$  located at the origin.



## Example: Chebyshev Polynomials

- Consider the measure

$$d\mu(\theta) = (1 - \cos(\theta)) \frac{d\theta}{2\pi} = \frac{|1 - e^{i\theta}|^2}{2} \frac{d\theta}{2\pi}.$$

- For this measure, the Verblunsky coefficients satisfy  $\alpha_n = -(n+2)^{-1}$ .
- The corresponding monic POPUC are  $\Phi_n(z; -1) = (z^{n+1} - 1) / (z - 1)$ , with zeros located at the  $(n+1)^{st}$  roots of unity, up to  $z = 1$ .
- $h_n(z; -1, -1) = \frac{P_{3,n}(z)}{P_{4,n}(z)}$ ,

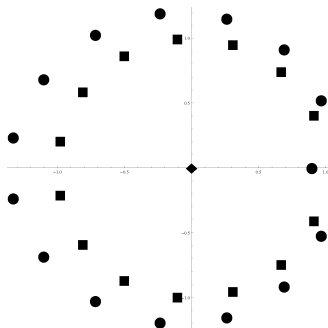
where

$$P_{3,n}(z) = -n(nz^{n+2} - (n+2)z^{n+1} + z + 1) = -n^2(z-1) \prod_{j=1}^{n+1} (z - p_{n,j})$$

$$P_{4,n}(z) = (n+1)z^{n+1}(z-1)$$

## Example: Chebyshev Polynomials

- This means that particles of charge  $+1$  located at the zeros of  $\Phi_n(z; -1)$  are in  $\partial\mathbb{D}$ -normal electrostatic equilibrium when the external field is generated by a single particle of charge  $+1$  located at  $1$ .
- On the other hand, the particles of charge  $+1$  located at the zeros of  $\Phi_n(z; -1)$  are in total electrostatics equilibrium when the external field is generated by particles of charge  $-1/2$  at each  $p_{n,j}$  and a particle of charge  $+1$  at the origin.



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- Exceptional orthogonal polynomials were introduced in the seminal work



D. Gómez-Ullate, N. Kamran, R. Milson, *An extended class of orthogonal polynomials defined by a Sturm-Liouville problem*, J. Math. Anal. Appl. **359** (1) (2009) 352–367.

- Let  $\{y_n\}_{n \in \sigma}$ ,  $\sigma = \mathbb{N} - \{i_1, \dots, i_m\}$  be a sequence of monic polynomials with  $\deg y_n = n$ , orthogonal with respect to a weight and, in addition, they are also eigenfunctions of a second order differential operator, i.e.

$$p(x)y_n'' + q(x)y_n' + r(x)y_n = \lambda_n y_n,$$

where  $p$ ,  $q$ ,  $r$  are rational functions.

- $\sigma$  is a numerable set of  $\mathbb{N}$  called the *degree sequence* and  $m$  is a number of missing integers in the degree sequence, known as the *codimension*.
- Concerning the location of zeros of exceptional orthogonal polynomials, the seminal reference is



D. Gómez-Ullate, F. Marcellán, R. Milson. *Asymptotic and interlacing properties of zeros of exceptional Jacobi and Laguerre polynomials*. J. Math. Anal. Appl. **399** (2013) 480–495.

## Definition: weighted Fejér constants

- Next we analyze the electrostatic properties of zeros of Exceptional orthogonal polynomials. The basic reference in this section will be:



Á.P. Horváth, *The electrostatic properties of zeros of exceptional Laguerre and Jacobi polynomials and stable interpolation*, J. Approx. Theory **194** (2015), 87–107.

- Let  $U_n := \{u_1, \dots, u_n\}$  be any system of nodes on an interval  $I$  and  $0 < w \in C^2(I)$  be a weight function on  $I$ .
- Let  $\omega_{U_n}(x) := \prod_{k=1}^n (x - u_k)$
- The weighted Fejér constants on  $I$  with respect to  $U_n$  and  $w$  are:

$$C_k := C_{k, U_n, w} = \frac{\omega_{U_n}''(u_k)}{\omega_{U_n}'(u_k)} + \frac{w'}{w}(u_k).$$

- We will investigate the local extrema of the energy function

$$T_w(u_1, \dots, u_n) = \prod_{j=1}^n w(u_j) \prod_{1 \leq i < j \leq n} (u_i - u_j)^2.$$

- Basic idea: The differential equation of orthogonal polynomials, and its transformed version to a Schrödinger equation.

## Lemma (Horváth, 2015)

Let  $p_n(x) = \prod_{i=1}^n (x - \zeta_i)$ , be a polynomial of degree  $n$  such that

$$p_n''(x) + M(x; n)p_n'(x) + N(x; n)p_n(x) = 0.$$

Let us assume that  $M(x; n)$  is the logarithmic derivative of a function  $w_n(x)$  which is smooth enough, that is

$$(\log w_n(x))' = M(x; n).$$

Let  $T_{w_n}(u_1, \dots, u_n)$  be the energy function with respect to  $w_n$ . Then for  $i, j = 1, 2, \dots$

$$\frac{\partial \log T_{w_n}(u_1, \dots, u_n)}{\partial u_i}(\zeta_1, \dots, \zeta_n) = C_{i, w_n, Z_n} = 0, \quad \frac{\partial^2 \log T_{w_n}(u_1, \dots, u_n)}{\partial u_i \partial u_j}(\zeta_1, \dots, \zeta_n) = \frac{2}{(\zeta_i - \zeta_j)^2},$$

$$\frac{\partial^2 \log T_{w_n}(u_1, \dots, u_n)}{\partial u_i^2}(\zeta_1, \dots, \zeta_n) = -\frac{2}{3} \Phi(\zeta_i),$$

where  $\Phi(x) := \Phi_{w_n}(x)$  is the coefficient of the transformed differential equation:

$$z_n''(x) + \Phi(x)z_n(x) = 0,$$

which is satisfied by

$$z_n(x) = p_n(x) \sqrt{w_n(x)}.$$

- Let  $L_k^{(\alpha-1)}(x)$  be the  $k$ -th degree classical Laguerre polynomial of parameter  $\alpha - 1$ .
- Let  $\{L_{m,m+n}^{l,(\alpha)}\}_{n=0}^{\infty}$  denotes the sequence of exceptional Laguerre polynomials of the first kind with codimension  $m \geq 1$ .
- They are the orthogonal polynomials on  $(0, \infty)$  with respect to the weight

$$\hat{w}_m^{(\alpha)} := \frac{x^\alpha e^{-x}}{S^2(x)}, \quad S(x) := s_m^{(\alpha-1)}(x) := L_m^{(\alpha-1)}(-x)$$

- $L_{m,m+n}^{l,(\alpha)}$  satisfies the following differential equation on  $\mathbb{R} \setminus \{0, -y_1, \dots, -y_m\}$

$$y''(x) + \left( \frac{\alpha + 1 - x}{x} - \frac{2S'(x)}{S(x)} \right) y'(x) + \left( \frac{m+n}{x} - \frac{\alpha}{x} \frac{2S'(x)}{S(x)} \right) y(x) = 0.$$

- **Lemma (Gómez Ullate et al, 2013):**  $L_{m,m+n}^{l,(\alpha)}$  has  $n+m$  simple zeros:  $n$  regular zeros  $x_{m,n,1}^{(\alpha)}, \dots, x_{m,n,n}^{(\alpha)} \in (0, \infty)$  and  $m$  exceptional zeros  $z_{m,n,1}^{(\alpha)}, \dots, z_{m,n,m}^{(\alpha)} \in (-\infty, 0)$ . Furthermore

$$\lim_{n \rightarrow \infty} n x_{m,n,i}^{(\alpha)} = \frac{(j_i^{(\alpha)})^2}{4}$$

and as  $n \rightarrow \infty$  the exceptional zeros of  $L_{m,m+n}^{l,(\alpha)}$  converge to the zeros of  $S(x) = L_m^{(\alpha-1)}(-x)$ . Here  $\{j_i^{(\alpha)}\}_{i \geq 1}$  is the increasing sequence of the positive zeros of the Bessel function of the first kind.

- **Theorem (Horváth, 2015):** Let  $\alpha \geq 1$ . Let us denote by  $X_{m,n} = \{x_{m,n,1}, \dots, x_{m,n,n}\}$  the positive zeros of  $L_{m,m+n}^{l,(\alpha)}$ .  $X_{m,n}$  is the Fekete set that is the unique set where the logarithmic energy function  $(-\log T_v)$  takes its infimum under the external field represented by

$$\left(v_{m,n}^{(\alpha+1)}\right)^{\frac{1}{2(n-1)}}, \quad \text{where } v_{m,n}^{(\alpha+1)} = \frac{x^{\alpha+1} e^{-x} P^2(x)}{S^2(x)}, \quad P(x) := \prod_{i=1}^m (x - z_{m,n,i}).$$



- The type II exceptional Laguerre polynomials of codimension  $m \geq 1$  are denoted by  $\{L_{m,m+n}^{II,(\alpha)}\}_{n=0}^{\infty}$ .
- Let us denote  $S(x) := L_m^{(-\alpha-1)}(x)$  and let us assume that  $\alpha > m - 1$ .
- It is known that  $S$  has no zeros in  $[0, \infty)$  and  $L_{m,m+n}^{II,(\alpha)}$ -s are orthogonal on  $(0, \infty)$  with respect to the weight

$$\hat{w}^{(\alpha)} := \frac{x^\alpha e^{-x}}{S^2(x)}$$

- $L_{m,m+n}^{II,(\alpha)}$  satisfies

$$y''(x) + \left( \frac{\alpha + 1 - x}{x} - \frac{2S'(x)}{S(x)} \right) y'(x) + \left( \frac{n - m}{x} - \frac{\alpha}{x} \frac{2S'(x)}{S(x)} \right) y(x) = 0.$$

- **Lemma (Gómez Ullate et al, 2013):**  $L_{m,m+n}^{II,(\alpha)}$  has  $n + m$  simple zeros,  $n$  regular zeros  $x_{m,n,1}^{(\alpha)}, \dots, x_{m,n,n}^{(\alpha)} \in (0, \infty)$  and 0 or 1 negative zero according to whether  $m$  is even or odd. Furthermore, as  $n \rightarrow \infty$  the exceptional zeros of  $L_{m,m+n}^{II,(\alpha)}$  converge to the zeros of  $S(x) = L_m^{(-\alpha-1)}(x)$  and  $\lim_{n \rightarrow \infty} n x_{m,n,i}^{(\alpha)} = \frac{(j_i^{(\alpha)})^2}{4}$ .

- We can write  $L_{m,m+n}^{II,(\alpha)} = P(x)q(x)$ , where  $P(x)$  is a polynomial of degree  $m$  with 0 or 1 real zero.
- We can examine the properties of the regular zeros of  $L_{m,m+n}^{II,(\alpha)}$ , i.e. the zeros of  $q$ . One can write

$$q''(x) + \left( M(x; n) + 2 \frac{P'}{P}(x) \right) q'(x) + \left( N(x; n) + \frac{P''}{P}(x) + M(x; n) \frac{P'}{P}(x) \right) q(x) = 0,$$

where  $M(x; n)$  and  $N(x; n)$  are the coefficients in the former differential equation for  $L_{m,m+n}^{II,(\alpha)}$

$$M(x; n) = \frac{\alpha+1-x}{x} - \frac{2S'(x)}{S(x)}, \quad N(x; n) = \frac{n-m}{x} - \frac{\alpha}{x} \frac{2S'(x)}{S(x)}.$$

- **Theorem (Horváth, 2015):** Let  $v(x) = v_{m,n}^{(\alpha+1)}(x) = \frac{x^\alpha e^{-x} P^2(x)}{S^2(x)}$ . If  $\alpha > m-1$ , and if  $n$  is large enough, then the set of regular zeros of  $L_{m,m+n}^{II,(\alpha)}$  is the unique set on which the logarithmic energy function takes its minimum under the external field

$$\left( v_{m,n}^{(\alpha+1)} \right)^{\frac{1}{2(n-1)}}.$$

- 1 From classical to semiclassical orthogonal polynomials
  - Electrostatic model for semiclassical OP
- 2 Electrostatic model for zeros of POPUC
  - Orthogonal and Paraorthogonal polynomials on the unit circle
  - Differential properties for POPUC
  - Electrostatic interpretation
  - Finding the electric field generators: an algorithmic approach
  - Examples: Lebesgue and Chebyshev polynomials
- 3 Zeros of exceptional orthogonal polynomials
  - Exceptional orthogonal polynomials
  - $X_m$ -Laguerre-(I) polynomials
  - $X_m$ -Laguerre-(II) polynomials
- 4 Zeros of Freud-Sobolev type orthogonal polynomials
  - Freud-Sobolev type orthogonal polynomials
  - Electrostatic models for the “even”  $Q_{2n}$  and “odd”  $Q_{2n+1}$  subsequences

- Let  $\{F_n(x)\}_{n \geq 0}$  be Freud polynomials, associated to

$$\langle p, q \rangle = \int_{\mathbb{R}} p(x)q(x)e^{-x^4} dx.$$

- TTRR

$$xF_n(x) = F_{n+1}(x) + a_n^2 F_{n-1}(x), \quad n \geq 1,$$

where the recurrence coefficients  $a_n$  satisfy the so called *string equation*

$$4a_n^2 (a_{n+1}^2 + a_n^2 + a_{n-1}^2) = n.$$

- Next, we consider the electrostatic behavior of zeros of the SMOP  $\{Q_n(x)\}_{n \geq 0}$  corresponding to

$$\langle p, q \rangle_1 = \langle p, q \rangle + M_0 p(0)q(0) + M_1 p'(0)q'(0).$$

- They are known in the literature as Freud-Sobolev type orthogonal polynomials

## Electrostatic model for zeros of $Q_{2n+1}$ (odd case)

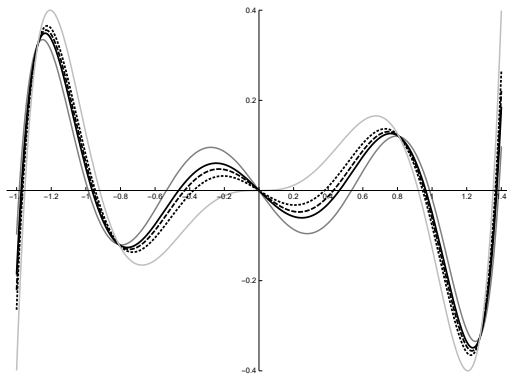
- Here  $M_0$  and  $M_1$  affects independently to the subsequences  $\{Q_{2n}(x)\}_{n \geq 0}$  and  $\{Q_{2n+1}(x)\}_{n \geq 0}$  respectively.
- $M_1$  changes **exclusively** the equilibrium position of the zeros of  $\{Q_{2n+1}(x)\}_{n \geq 0}$  under the action of the following external potential (Garza, Huertas, Marcellán, (2017))

$$V_{ext}(x, 2n+1) = \frac{1}{2} \ln u(x, 2n+1) - \frac{1}{2} \ln x^2 e^{-x^4}$$

- The first term above represents a *short range potential* corresponding to four unit charges located at the zeros of the **quartic polynomial**  $u(x, n)$ .
- The coefficients of  $u(x, n)$  depend on  $n$ ,  $a_n$ ,  $M_0$ ,  $M_1$  and the confluent Kernels  $K_n(0, 0)$  and  $K_n^{(1,1)}(0, 0)$ .
- When  $u(x, n) \rightarrow u(x, 2n+1)$  the dependence with  $M_0$  and  $K_n(0, 0)$  vanishes
- The second term represents a *long range potential* associated with the weight function  $e^{-x^4}$

## Electrostatic model for zeros of $Q_{2n+1}$ (odd case)

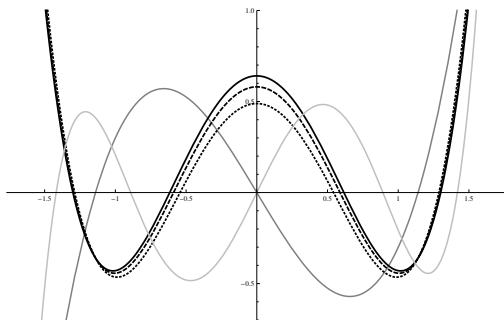
- This picture illustrates the variation of the zeros of the odd degree Freud-Sobolev type polynomials  $Q_7(x)$  when  $M_1$  varies.
- Here, the value of  $M_0$  is not relevant.



## Electrostatic model for zeros of $Q_{2n}$ (even case)

- The even case was analyzed in (Arceo, Huertas, Marcellán (2016)).
- In a similar way,  $M_0$  changes **exclusively** the equilibrium position of the zeros of the “even” subsequence  $\{Q_{2n}(x)\}_{n \geq 0}$  under the action of the external potential

$$V_{\text{ext}}(x, 2n) = \frac{1}{2} \ln u(x, 2n) - \frac{1}{2} \ln x^2 e^{-x^4}.$$



**Thank you!**